

UNITED STATES DISTRICT COURT

for the

District of Massachusetts

United States of America

v.

Shane Kenneth Schmidt

Case No.

20-MJ-6415-MPK

Defendant(s)

CRIMINAL COMPLAINT

I, the complainant in this case, state that the following is true to the best of my knowledge and belief.

On or about the date(s) of December 2018 to January 2020 in the county of Middlesex in the
 District of Massachusetts, the defendant(s) violated:

*Code Section**Offense Description*

18 U.S.C. § 371

Conspiracy to Commit Securities Fraud

15 U.S.C. §§ 78j(b) and 78ff and 17

Securities Fraud

C.F.R. § 240.10b-5

This criminal complaint is based on these facts:

See attached affidavit by FBI Special Agent Keith Brown.

☒ Continued on the attached sheet.

/s/ Keith Brown

Complainant's signature

Keith Brown, FBI, Special Agent

Printed name and title

Attested to by the applicant in accordance with the requirements of Fed. R. Crim. P. 4.1 by
 telephone *(specify reliable electronic means).*

Date: 06/08/2020City and state: Boston, Massachusetts

Page Kelly
Judge's signature
 Hon. M. Page Kelley U.S. Magistrate Judge
Printed name and title